

**THE DECREE OF THE BOARD OF DIRECTORS OF
PT KUSTODIAN SENTRAL EFEK INDONESIA**
Number: KEP-0012/DIR/KSEI/0224

REGARDING

**THE REGULATION OF PT KUSTODIAN SENTRAL EFEK INDONESIA NUMBER XII-A
REGARDING
KNOW YOUR CUSTOMER PRINCIPLES ADMINISTRATION SERVICES AT KSEI**

- Considering :
- a. Whereas PT Kustodian Sentral Efek Indonesia (KSEI) as the Central Securities Depository has been appointed as the Organizer of Know Your Customer Principles Administration Services (LAPMN) based on the Regulation of the Financial Services Authority Number 15 of the Year 2023 regarding the Implementation of Know Your Customer Principles Administration Services.
 - b. Whereas to fulfill the provisions of the laws and regulations, KSEI as the Organizer of LAPMN shall have provisions regarding the implementation of LAPMN in order to ensure the utilization of LAPMN can run efficiently, fairly, and regularly.
 - c. Whereas KSEI as the Central Securities Depository has carried out development in order that LAPMN can be implemented, thus creating efficiency for the implementation of Customer Due Diligence (CDD) and/or Enhanced Due Diligence (EDD) with the centralization of data and/or documents of the Prospective Customers and/or the Customers of the Users of LAPMN.
 - d. Whereas based on the considerations as referred to in point a up to point c above, KSEI considers it necessary to issue provisions regarding the implementation of Know Your Customer Principles Administration Services at KSEI through the Regulation of KSEI Number XII-A regarding the Know Your Customer Principles Administration Services at KSEI.
- In View of :
- a. Law Number 8 of the Year 1995 regarding Capital Market (State Gazette of the Republic of Indonesia of the Year 1995 Number 64, Supplement to the State Gazette of the Republic of Indonesia Number 3608) as has been amended by Law Number 4 of the Year 2023 regarding the Development and Strengthening of Financial Sector (State Gazette of the Republic of Indonesia of the Year 2023 Number 4, Supplement to the State Gazette of the Republic of Indonesia Number 6845).
 - b. Regulation of the Capital Market Supervisory Agency Number III.C.6 regarding the Operational Procedures and Internal Control of the Central Securities Depository (Attachment to the Decree of the Chairman of the Capital Market Supervisory Agency Number KEP-29/PM/1998 dated June 19, 1998, regarding the Operational Procedures and Internal Control of the Central Securities Depository).
 - c. Regulation of the Financial Services Authority Number 48/POJK.04/2016 regarding the Rule Making Procedures by the Central Securities Depository (State Gazette of the Republic of Indonesia of the Year 2016 Number 277, Supplement to the State Gazette of the Republic of Indonesia Number 5973).

- d. Regulation of the Financial Services Authority Number 3/POJK.04/2021 regarding the Organization of Activities in the Capital Market Sector (State Gazette of the Republic of Indonesia of the Year 2021 Number 71, Supplement to the State Gazette of the Republic of Indonesia Number 6663).
- e. Regulation of the Financial Services Authority Number 15 of the Year 2023 regarding the Implementation of Know Your Customer Principles Administration Services (State Gazette of the Republic of Indonesia of the Year 2023 Number 26/OJK, Supplement to the State Gazette of the Republic of Indonesia Number 50/OJK).
- f. Decree of the Chairman of the Capital Market Supervisory Agency Number KEP-54/PM/1998 Dated November 11, 1998, regarding the Granting of Business Permit as the Central Securities Depository to PT Kustodian Sentral Efek Indonesia.
- g. Letter of the Financial Services Authority Number S-630/PM.21/2020 dated June 29, 2020, regarding the Approval of the KSEI Services as the Organizer of KYC Administration.
- h. Letter of the Financial Services Authority Number S-440/PM.21/2021 dated April 13, 2021, regarding the Approval over the Services of PT Kustodian Sentral Efek Indonesia (KSEI) as the Organizer of KYC Administration.
- i. Decree of the Board of Commissioners of the Financial Services Authority Number KEP-4/D.04/2024 dated January 30, 2024, regarding the Appointment of PT Kustodian Sentral Efek Indonesia as the Organizer of Know Your Customer Principles Administration Services.
- j. Letter of the Financial Services Authority Number S-27/PM.01/2024 dated February 6, 2024, regarding the Approval over the Draft Regulation of KSEI Number XII-A regarding the Know Your Customer Principles Administration Services at KSEI.

HAS DECIDED

- To stipulate :
- 1. Regulation of KSEI Number XII-A regarding Know Your Customer Principles Administration Services at KSEI.
 - 2. Provisions regarding the implementing regulations, implementing mechanisms, as well as matters which have not yet been sufficiently stipulated in the Regulation of KSEI Number XII-A regarding the Know Your Customer Principles Administration Services at KSEI shall be further stipulated in the Circular Letter of KSEI.
 - 3. Data and/or the documents of the Customers who have Single Investor identification (SID) and have been registered at KSEI up to February 11, 2024, can be used for the purpose of storage of data and/or documents of the Customers at LAPMN in the framework of implementation of LAPMN by KSEI as the Organizer of LAPMN.
 - 4. The Users of LAPMN may use data and/or documents of the customers received from LAPMN as referred to in Point 3 above to facilitate the interest of the Prospective Customers and/or the Customers of the Users of LAPMN, to the extent they have obtained written approval from the Prospective Customers and/or the Customers.

5. This Decree of the Board of Directors shall be effective starting as of the date of stipulation provided that any and all things shall be amended and corrected accordingly, if there were any mistakes in the stipulation and/or considered necessary to be made any correction over this Decree of the Board of Directors.

Stipulated in : Jakarta
On : February 12, 2024

PT Kustodian Sentral Efek Indonesia

Samsul Hidayat
President Director

Imelda Sebayang
Director of Finance and Administration